

PTO/SB/17 (10-03)

Approved for use through 07/31/2006, OMB 0651-0032  
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# FEE TRANSMITTAL

## for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1390)

## Complete if Known

Application Number	09/511,481
Filing Date	23 February 2000
First Named Inventor	Gary J. Becker
Examiner Name	Urmil Chatterjee
Art Unit	3738
Attorney Docket No.	1133279-0028

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:
 Deposit Account Number  
 23-1703  
 Deposit Account Name  
 White & Case

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051	65	Surcharge - late filing fee or oath	
1052 50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053	130	Non-English specification	
1812 2,520	1812	2,520	For filing a request for ex parte reexamination	
1804 920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251	55	Extension for reply within first month	
1252 420	2252	210	Extension for reply within second month	
1253 850	2253	475	Extension for reply within third month	
1254 1,480	2254	740	Extension for reply within fourth month	
1255 2,010	2255	1,005	Extension for reply within fifth month	1,005
1401 330	2401	165	Notice of Appeal	
1402 330	2402	165	Filing a brief in support of an appeal	
1403 290	2403	145	Request for oral hearing	
1451 1,510	1451	1,510	Petition to institute a public use proceeding	
1452 110	2452	55	Petition to revive - unavoidable	
1453 1,330	2453	665	Petition to revive - unintentional	
1501 1,330	2501	665	Utility issue fee (or reissue)	
1502 480	2502	240	Design issue fee	
1503 640	2503	320	Plant issue fee	
1460 130	1460	130	Petitions to the Commissioner	
1807 50	1807	50	Processing fee under 37 CFR 1.17(a)	
1808 180	1808	180	Submission of Information Disclosure Stmt	
8021 40	8021	40	Recording each patent assignment per property (times number of properties)	
1809 770	2809	385	Filing a submission after final rejection (37 CFR 1.129(e))	
1810 770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801	385	Request for Continued Examination (RCE)	385
1802 900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1390)

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		(Complete if applicable)		
Name (Print/Type)	Richard J. Sterner	Registration No. Attorney/Agent	35,372	Telephone 212-819-8200
Signature			Date	January 5, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/30 (09-03)

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<b>Request for Continued Examination (RCE) Transmittal</b>  Address to: 004 231703 09511481 Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	<b>Application Number</b>	09/511,481
	<b>Filing Date</b>	23 February 2000
	<b>First Named Inventor</b>	Gary J. Becker
	<b>Art Unit</b>	3738
	<b>Examiner Name</b>	Urmil Chaitopadhyay
	<b>Attorney Docket Number</b>	1133279-0028

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a.  Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i.  Consider the arguments in the Appeal Brief or Rely Brief previously filed on \_\_\_\_\_

ii.  Other \_\_\_\_\_

b.  Enclosed

i.  Amendment/Reply

ii.  Affidavit(s) Declaration(s)

iii.  Information Disclosure Statement (IDS)

iv.  Other PTO/SB/17 - Fee Transmittal

2. **Miscellaneous**

Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a

a.  period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(l) required)

b.  Other \_\_\_\_\_

3. **Fees**

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to

a.  Deposit Account No. 23-1703

i.  RCE fee required under 37 CFR 1.17(e)

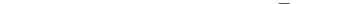
ii.  Extension of time fee (37 CFR 1.136 and 1.17)

iii.  Other \_\_\_\_\_

b.  Check in the amount of \$ \_\_\_\_\_ enclosed

CE (c)(0) UU 3 Payment by credit card (Form PTO-2038 enclosed)  
385.00 DA **WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Richard J. Sterner	Registration No. (Attorney/Agent)	35,372
Signature		Date	January 5, 2004

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Richard J. Steiner		Date	January 5, 2004
Signature				

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. §22 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.